SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Estus Ian			2. Issuer Name and Ticker or Trading Symbol <u>HC2 Holdings, Inc.</u> [HCHC]		all applicable) Director	10% Owner	
(Last) (First) (Middle) C/O HC2 HOLDINGS, INC. 460 HERNDON PARKWAY SUITE 150		χ <i>γ</i>	3. Date of Earliest Transaction (Month/Day/Year) 03/12/2015	- X	Officer (give title below) Managing Director,	Other (specify below) ector, Investments	
		20170 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Group Filing Form filed by One Rep Form filed by More that Person	orting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock ⁽¹⁾	03/12/2015		Α		35,776	A	\$0.00	98,276	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$9	03/12/2015		A		17,492		(2)	03/11/2025	Common Stock	17,492	\$0.00	17,492	D	

Explanation of Responses:

1. Reflects the restricted stock granted on March 12, 2015 pursuant to HC2 Holdings, Inc.'s (the "Company") 2014 Executive Bonus Plan (the "Plan"). 17,888 shares vested on March 12, 2015, and 17,888 shares shall vest on March 12, 2016, provided Mr. Estus is employed by the Company on each the date.

2. Reflects the shares of common stock underlying the options granted on March 12, 2015 pursuant to the Plan. 8,746 options vested on March 12, 2015, and 8,746 options shall vest on March 12, 2016, provided Mr. Estus is employed by the Company on the vesting date.

Remarks:

/s/ Ian Estus

** Signature of Reporting Person

03/16/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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