SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Herbst Suzi R</u>	2. Date of Event Requiring Staten (Month/Day/Year 04/08/2016	nent T	3. Issuer Name and Ticker or Trading Symbol <u>HC2 Holdings, Inc.</u> [HCHC]					
(Last) (First) (Middle) 450 PARK AVE., 30TH FLOOR			 Relationship of Reporting Perso Check all applicable) Director 	on(s) to Issue 10% Owne	(M	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street)			X Officer (give title below) Chief Administrativ	Other (spe below)	Ap	plicable Line)	t/Group Filing (Check	
NEW YORK NY 10022			Chief Administrativ	e Officer			y More than One	
(City) (State) (Zip)								
	Table I - Non	-Derivativ	ve Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock ⁽¹⁾			22,906	D				
(Securities Beneficially nts, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Da (Month/Day/)	ate	3. Title and Amount of Securi Underlying Derivative Securi		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		

1. Reflects the restricted stock granted on March 12, 2015, pursuant to HC2 Holdings, Inc.'s (the "Company") 2014 Omnibus Equity Award Plan. 7,636 shares vested on March 12, 2015, 7,635 shares vested on March 12, 2016, and 7,635 shares shall vest on March 12, 2017, provided Ms. Herbst is employed by the Company on the vesting date.

> 04/14/2016 /s/ Suzi R. Herbst

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.