SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Hladek, Keith</u>			2. Issuer Name and Ticker or Trading Symbol <u>HC2 Holdings, Inc.</u> [HCHC]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>index, item</u>					Director	10% Owner			
(Last) (First) (Middle) C/O HC2 HOLDINGS, INC. 460 HERNDON PARKWAY, SUITE 150		, , ,	3. Date of Earliest Transaction (Month/Day/Year) 03/12/2015	- X	Officer (give title below) Chief Operation	Other (specify below) ng Officer			
460 HERNDON PARK WAY, SUITE 150 (Street) HERNDON VA 20170			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fil Form filed by One Re Form filed by More th Person	eporting Person			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount (A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1130. 4)	
Common Stock ⁽¹⁾	03/12/2015		Α		124,091	Α	\$0.00	249,091	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$9	03/12/2015		A		50,728		(2)	03/11/2025	Common Stock	50,728	\$0.00	50,728	D	

Explanation of Responses:

1. Reflects the restricted stock granted on March 12, 2015 pursuant to HC2 Holdings, Inc.'s (the "Company") 2014 Executive Bonus Plan (the "Plan"). 72,217 shares vested on March 12, 2015, and 51,874 shares shall vest on March 12, 2016, provided Mr. Hladek is employed by the Company on the vesting date.

2. Reflects the shares of common stock underlying the options granted on March 12, 2015 pursuant to the Plan. 25,364 options vested on March 12, 2015, and 25,364 options shall vest on March 12, 2016, provided Mr. Hladek is employed by the Company on the vesting date.

Remarks:

<u>/s/ Keith Hladek</u>

** Signature of Reporting Person

03/16/2015 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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