SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL												
OMB Number:	3235-028											

Estimated average burden hours per response: 0.5

Obligations may Instruction 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			hours per r	espor	nse:	0.5
1. Name and Address of Reporting Person [*] GLAZER AVRAM A			2. Issuer Name and Ticker or Trading Symbol <u>HC2 HOLDINGS, INC.</u> [HCHC]		all applicabl	X		10% Owner	r
	(First) DVATT GILMAN		3. Date of Earliest Transaction (Month/Day/Year) 03/16/2021		Officer (giv below)	'e title		Other (specify below)	/
/00 CROSSRO	ADS B UILDING	1	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Join	t/Group Fili	ng (C	Check Applica	.ble
(Street)				Х	Form filed	by One Re	portir	ng Person	
ROCHESTER	NY	14614			Form filed Person	by More tha	an Oi	ne Reporting	
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

				,		,		,		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/16/2021		Р		2,164,108	A	\$3.8	2,164,108	Ι	Avram Glazer Irrevocable Exempt Trust ⁽¹⁾
Common Stock								33,747	D	
Common Stock								18,983,954	I	Lancer Capital ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported shares were acquired by the Avram Glazer Irrevocable Exempt Trust (the "Trust"). Reporting person is the Truste of the Trust, and in such capacity may be deemed to beneficially own the shares held of record by the Trust.

2. The reported shares are held by Lancer Capital LLC ("Lancer"). Reporting Person is the sole owner of Lancer, and in such capacity may be deemed to beneficially own the shares held of record by Lancer.

Remarks:

/s/ Avram A. Glazer

03/18/2021 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.